**EXPORT CONTROL REVIEW**

**Internal**

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| --- | --- |
| **Principal Investigator:** |  |
| **College/School/Department:** |       |
| **Sponsor:** |       |
| **Sponsor Award Identifier:** |       |
| **Prime Sponsor (if applicable):** |       |
| **Title of Project:** |       |
| **PS Project Number:** |       |

**PROJECT REVIEW: (complete questions and attach supporting documentation)**

|  |  |  |
| --- | --- | --- |
| ***YES*** | ***NO*** |  |
| **[ ]**  | **[ ]**  | The project involves the transfer or provision of any goods, articles, materials, equipment, services, or supplies out of the United States. |
| **[ ]**  | **[ ]**  | The project will utilize **non**-US Persons/Foreign persons paid **and/or** unpaid. [Note: only required if effort is determined to be controlled] |
| **[ ]**  | **[ ]**  | Project **involves** export controlled information, materials and/or equipment.\*\* **\*\*(Purchased, generated and/or received by/from Sponsor and/or UF and/or other sources)** |
| **[ ]**  | **[ ]**  | Project abstract attached - involves military related topics, weapons, pathogens, toxins, satellites, radars, sensors, unmanned vehicles, energetic, explosives, etc. |

**CONTRACT REVIEW: (complete questions and attach supporting documentation)**

*The contract contains specific national security/access and dissemination controls on information resulting from the research:*

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| --- | --- | --- |
| ***YES*** | ***NO*** |  |
| **[ ]**  | **[ ]**  | Prepublication review for other than removal of preexisting proprietary information or protection of patentable subject matter. Or language that provides the sponsor/prime with the right to withhold permission for publication.  |
| **[ ]**  | **[ ]**  | Restrictions on **non**-US Persons/Foreign persons access/participation. |
| **[ ]**  | **[ ]**  | Attached are the contract clauses and/or federal regulations relative to the noted restrictions. |

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**U.S. Person.** Any individual who is a citizen of the United States, a permanent resident alien of the United States, or a protected individual as defined by 8 U.S.C. 1324b (a) (3) (i.e., refugee, asylee). A U.S. Person also includes corporations, business associations, partnerships, societies, trusts, etc. or any other entity, organization or group that is incorporated to do business in the United States.

**Non U.S. Person/Foreign Person**. Any individual who is neither a citizen of the United States, nor a permanent resident of the United States, nor a protected individual as defined by 8 U.S.C. 1324b (a) (3) (i.e., refugee, asylee). Non U.S. Person/Foreign Person also means any foreign corporation, business association, partnership, trust, society or any other entity or group that is not incorporated or organized to do business in the United States, as well all international organizations, foreign governments and any agency or subdivision of foreign governments (e.g., diplomatic missions).

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| Reviewed by:Research Administrator:Notes: | Typed Name:      | Initials: | Date: |

**EXPORT CONTROL- PI CERTIFICATION**

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| --- | --- |
| **Principal Investigator:** |  |
| **College/School/Department:** |       |
| **Sponsor:** |       |
| **Sponsor Award Identifier:** |       |
| **Prime Sponsor (if applicable):** |       |
| **Title of Project:** |       |
| **PS Project Number:** |       |

A review of the project and corresponding contract as listed above has been completed. It has been determined that **export control issues exist** and certain actions are required **before the project performance can begin**.

Please review the information below and **assert by signing the form that you understand and agree to the following restraints**. Once signed, the University will execute the pending award and begin the final export control review and preparation, as necessary, of a Technology Control Plan (TCP). **You will be contacted for participation in completing the TCP.**

**===============================================================================================**

No transfer of any goods, articles, materials, equipment, services, supplies or information out of the United States without an export license or license exemption.

No use of non-US Persons/Foreign persons on the project – paid or non-paid - without the proper Sponsor required prior approvals and/or export license, as necessary.

No access to or dissemination of export controlled products and/or information to any non-US Persons/Foreign persons without an export license and/or Sponsor required prior approvals, as necessary.

No access to or dissemination of project research results to any non-US Persons/Foreign persons without an export license or Sponsor required prior approvals, as necessary.

A Technology Control Plan (TCP) may be required for the project. If it is determined that a TCP is required, I will abide by the plan including the following conditions.

1. Implement Physical Security Measures for my lab to limit access to export controlled technology, information, data, materials, items and research results.
2. Implement Information Security Measures such as a secured workstation with only approved use access.
3. Implement Personnel Screening Procedures and ensure that all project personnel attend export control training.

I understand that I am responsible for ensuring that these restrictions are communicated to all project participants and that all project participants adhere to the restrictions.

I understand that if an export license is required that it must be obtained before any work requiring a license is commenced. I will work with the Division of Sponsored Research on the license determination and application, and corresponding TCP such that all determinations and requirements are concluded within 30 days from my signing of this certification. I understand that I must be available and non-adherence to the 30 day timeline for conclusion of this process may render a decision by the University to cancel the research agreement.

I will comply with all University of Florida policies and procedures regarding export controls.

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| **PI Acceptance:** |
| **Signature** **Date** |

Return the signed form to: