

# International Engagements Update

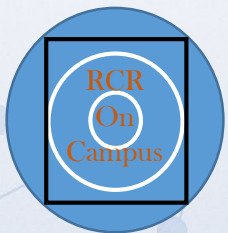
Research Integrity Summer Seminar Series

**Cassandra Farley**

Associate Director and UF RIO  
UF Research Integrity, Security &  
Compliance (RISC)

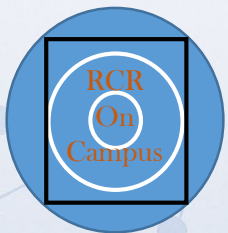
**Stephanie Gray**

Assistant Vice President and Director  
UF Sponsored Programs



# Please Note:

- Please take the survey after the class—we value your feedback
- In order to allow for free flow of ideas and questions, we will not record the session
- Slides and other materials will be sent to attendees after the class



# How Did We Get Here?

## Texas cancer center ousts 3 scientists over Chinese data theft concerns

The MD Anderson Cancer Center was among 55 medical research facilities contacted by the NIH over concerns of researchers' ties to foreign governments.



## FBI official addresses China influence investigations at Moffitt Cancer Center, UF

Florida lawmakers were briefed on the investigations into Chinese attempts to meddle — and steal — American-funded research.



NATION

## Former Florida professor indicted for fraudulently obtaining \$1.75M federal grant

Cindy Swirko The Gainesville Sun

Published 9:48 a.m. ET Feb. 5, 2021 | Updated 10:50 a.m. ET Feb. 5, 2021



GAINESVILLE, Fla. — A former University of Florida associate professor and researcher has been indicted on allegations of fraudulently getting a federal grant by concealing ties with the Chinese government.

## NSF PAPPG Guidance on Reporting Undisclosed Activities

## NIH Guidance on Biosketches and Other Support

## Senate Report on Talent Programs

## Provost and VPR Memo

NEWS / HEALTH

## Faculty members held jobs in China while working for UF, report says

They also collected grant money from the U.S. government while never disclosing their outside work in China.

## Top Leaders at Moffitt Cancer Center Resign

FOR IMMEDIATE RELEASE

Monday, August 24, 2020

## Texas professor and NASA researcher arrested on charges related to China's Talents Program

Texas A&M University professor working on U.S. space projects allegedly hid affiliations with Chinese state-owned academic and commercial institutions

## MD Anderson Fires 3 Scientists

NEWS | 03 February 2020

## Harvard chemistry chief's arrest over China links shocks researchers

Nanoscientist Charles Lieber allegedly lied about his involvement in China's Thousand Talents Plan.

## University of Arkansas Professor Arrested

## UF Professor Indicted

Aug-18

Jan-19

Feb-19

Apr-20

May-20

May-20

Jun-20

Aug-20

Dec-20

Jan-21

Jan-21

May-21

Jun-21

Oct-21

7017

Guidance  
Biosketches  
and Other  
Support

# Where Do We Run Afoul?

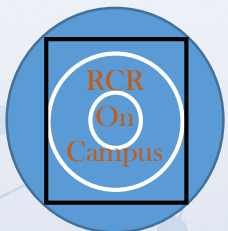
- Conflict of commitment
- Unreported outside activities including appointments
- Publishing for parties other than UF
- Missing activities and resources on biosketches & other support
- Long term travel/alternate work locations
- Export Controls





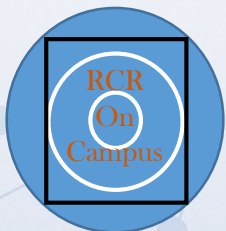
# Employment & Outside Activities

- Disclose all outside activities to UF in accordance with UF Policy
- Professional activities must be listed on your Biosketch
  - Refer to UF Research resources at:  
<https://research.ufl.edu/compliance/international-engagement-and-collaborations/undue-foreign-influence-overview.html>
- Depending on the nature of the activity, may also need to be included in other support



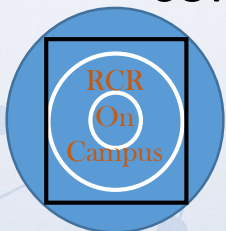
# Employment & Outside Activities

- All faculty with a .26 FTE or above, some senior-level staff (and starting next week all named persons on sponsored projects) must disclose in UFOLIO.
  - All others disclose using paper forms until rollout complete
- Activities include:
  - Ownership, Management, or Financial Interests
  - Public Office
  - Outside Teaching
  - Outside Research
  - Classroom Materials
  - IP Income
  - Legal Consulting
  - Professional Services (“consulting”)
  - Leadership Roles



# Publications

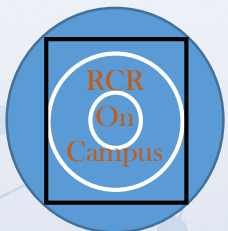
- As your employer, UF is your primary affiliation
- Follow community best practices for authorship, affiliations & acknowledgements
- ICMJE recommends that authorship be based on:
  - Substantial contributions to the conception or design of the work; or the acquisition, analysis, or interpretation of data for the work; AND
  - Drafting the work or revising it critically for important intellectual content; AND
  - Final approval of the version to be published; AND
  - Agreement to be accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.
- Proceed with caution if an outside entity requires use of their affiliation
  - Acknowledgements are likely more appropriate
  - Contact UF RISC if you have questions
- Sponsors are reviewing publications for affiliations, acknowledgements, contributions and funding sources





# Collaborations—Funded & Unfunded

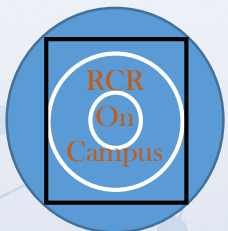
- UF encourages and supports international collaborations.
- Depending on the nature of the collaboration, these likely need to be disclosed to Sponsors
  - Foreign Component – if performing substantial part of the proposed project
  - Other (Current & Pending) Support – **NOTE the SIGNIFICANT CHANGE IN INTERPRETATION HERE**
    - Visiting researchers and students, not paid by UF or grant sources
    - Collaborations unfunded or on other research projects (they are seen to provide scientific or scholarly value to your work or you wouldn't be collaborating)
  - NSF COA form





# Foreign Travel & Alternate Work Location

- Report all international travel and register UF equipment
- Purpose of travel and who is paying for it may necessitate reporting to sponsors
  - Foreign component
  - Outside activity
  - SFI for NIH
- If off campus for more than 30 days, you must request an alternate work location
  - Requires review and approval of Department, College, UF RISC and UFHR



# Inventory Your Lab & Resources

Other Support is broader than it used to be. Suggest taking complete inventory of:

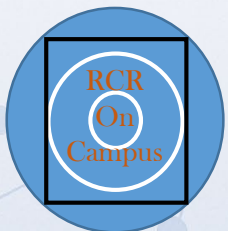
| What                   | Details   | Funding Source   |
|------------------------|---|--|
| People                 | Employees, students, volunteers & visitors                  | Are you paying them? Is their home institution/country? Are they truly volunteering? |
| Materials & Samples    | Are you using something that isn't available on the market? | Where did it come from? Previous experiments? Scientific collaborator? Bank          |
| Facilities & Equipment | What do you have access to in your lab? Other labs?         | Do you have to pay for access fees/time/sample runs?                                 |



# State Developments

On 6/7/2021, the Governor signed HB7017--Act Relating to Foreign Influence, which was passed unanimously by the Florida Legislature

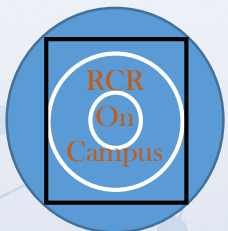
- Imposes restrictions/prohibitions on Florida universities from participating in certain agreements with or accepting grants from China, Russia, Iran, North Korea, Cuba, Venezuela, or Syria, particularly those agreements promoting culture or language for these countries
- Requires Florida universities to report any contract, gift, grant, endowment, award, or donation of money or property of any kind received directly or indirectly from a foreign source with a value of \$50,000 or more during the fiscal year.
- Requires foreign applicants for paid or unpaid research-related positions to disclose specified information, including complete employment, education, publication, and affiliation history, which must be screened by research integrity office prior to offer of position
- Requires review and prior approval by research integrity office for any foreign travel by university personnel.





# Resources

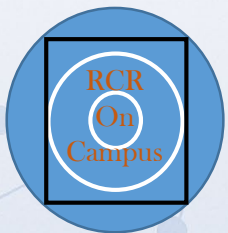
- Disclosing to NIH: <https://research.ufl.edu/dsp/proposals/disclosing-activities-to-nih.html>
- Disclosing to NSF: <https://research.ufl.edu/dsp/proposals/disclosing-activities-to-nsf.html>
- International Engagements and Collaborations: <https://research.ufl.edu/compliance/international-engagement-and-collaborations.html>
- Outside Activities: <https://coi.ufl.edu/>
- Alternative Work Locations: <https://hr.ufl.edu/forms-policies/policies-managers/alternate-work-location/>
- Export Control: <https://research.ufl.edu/compliance/export-controls.html>
- HB 7017: <http://laws.flrules.org/2021/76>





# Way Ahead

- In general, we expect to see more cases and more regulations from our federal partners
- Pending federal legislation related to foreign influence
- HB7017 roll out



Thank you!

Please take the survey!

